



Attorney Docket No.: A-66828-1/RMS/DCF/KJC

469249-232

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Chee, M.S., et al.

Serial No. 09/189,543

Filed: November 10, 1998

For: Decoding of Array Sensors with

Microspheres

Examiner: Marschel, A.H.

Group Art Unit: 1631

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CERTIFICATE OF MAILING

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, DC 20231

Sir:

TECH CENTER 1600/200 In satisfaction of the duty of disclosure under 37 C.F.R. § 1.56, and in accordance with the provisions of 37 C.F.R. §§ 1.97 and 1.98, Applicants wish to draw the attention of the U.S. Patent and Trademark Office to the references cited on the accompanying form PTO-1449. Copies of these references are attached.

None of the foregoing references are believed to disclose the invention as claimed. Nothing herein shall constitute an admission concerning the contents of any of the cited references, nor shall the inclusion of a reference herein be considered an admission that the reference constitutes prior art against the invention claimed in the above-identified application.

05/13/2003 HBLANCO 00000033 09189543

01 FC:1806

180.00 OP

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Filed: November 10, 1998

Submission of the present document shall not be construed as an admission that a search has been made or that better art does not exist.

As required by M.P.E.P. §2001.06(b), Applicants note that the present application is related to the following patent applications:

Application Serial No.	Date Filed
08/944,850	October 6, 1997
09/287,573	April 6,1999
09/574,117	May 19, 2000
09/979,247	April 15, 2002
10/334,416	December 31, 2002

Pursuant to 37 C.F.R. §1.97(c), enclosed is a check in the amount of \$180.00 as set forth in 37 C.F.R. §1.17(p). While no further fee is believed to be due, if this belief is in error, the Commissioner is authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-2319 (Our Order No. 469249-232 (A-66828-1/RMS/DCF/KJC)).

Please direct any calls in connection with this application to the undersigned at (415) 781-1989.

Respectfully submitted,

DORSEY & WHITNEY LLP

Customer Number: 32940

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Intellectual Property Department

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David C. Foster, Reg. No. 44,685

for Robin M. Silva, Reg. No. 38,304

Filed under 37 C.F.R. § 1.34(a)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

15 Sheet 1 of

	C mplet if Kn wn	
Application Number	09/189,543	1
Filing Date	Nov mber 10, 1998	4
First Named Inventor	Chee, M.S.	Z
Group Art Unit	1631	<u> </u>
Examiner Name	Marschel, A.	7 2
Attorney Docket Number	A-66828-1/RMS/DCF/KJC	

	U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	U.S. Patent Document Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Aplevant Passages or Relevant Figures Appear	
	A1	3,712,535	01/1973	Genese, J.N., et al.		
	A2	3,748,975	07/1973	Tarabocchia		
	А3	4,125,314	11/1978	Haskell et al.		
	A4	4,224,198	09/1980	Rembaum et al.		
	A5	4,448,485	05/1984	Bergman et al.		
	A6	4,582,789	05/1986	Sheldon, III et al.		
	A7	4,687,732	08/1987	Ward et al.		
	A8	4,729,949	03/1988	Weinreb et al.		
	A9	4,772,540	09/1988	Deutsch et al.		
	A10	4,842,783	06/1989	Blaylock		
	A11	4,868,130	09/1989	Hargreaves, W.R.		
	A12	4,876,187	10/1989	Duck et al.		
	A13	4,879,097	11/1989	Whitehead, T.P., et al.		
	A14	4,894,343	01/1990	Tanaka et al.		
	A15	4,895,805	01/1990	Sato et al.		
	A16	4,981,783	01/1991	Augenlicht		
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	A19	5,026,599	06/1991	Koskenmaki		
	A20	5,061,336	10/1991	Soane		
	A21	5,071,531	12/1991	Soane		

Examiner	Date	
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Unique citation designation number. ² See attached Kinds of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English Language Translation is attached.

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

C mplete if Kn wn 4DEN Substitute for form 1449A/PTO (Modified) Application Number 09/189,543 INFORMATION DISCLOSURE Novemb r 10, 1998 Filing Date STATEMENT BY APPLICANT First Named Inventor Che, M.S. Group Art Unit 1631 (use as many sheets as necessary) Marschel, A. Examiner Name A-66828-1/RMS/DCF/KJC Sheet 2 15 Attorney Docket Number

	U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	U.S. Patent Document Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevan Passages or Relevant Figures Appear	
	A22	5,110,745	05/1992	Kricka et al.		
	A23	5,126,022	06/1992	Soane et al.		
	A24	5,135,627	08/1992	Soane		
	A25	5,176,881	01/1993	Sepaniak et al.		
	A26	5,177,012	01/1993	Kim et al.		
,	A27	5,185,178	02/1993	Kosenmaki		
	A28	5,185,243	02/1993	Ullman et al.		
	A29	5,272,081	12/1993	Weinreb et al.		
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	A32	5,308,771	05/1994	Zhou et al.		
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	A34	5,342,737	08/1994	Georger, Jr. et al.		
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	A41	5,585,069	12/1996	Zanzucchi et al.		
-	A42	5,587,128	12/1996	Wilding et al.		
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	A44	5,593,838	01/1997	Zanzucchi et al.		

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Examiner	Date		
Signature	Considered		

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Application Number	09/189,543					
Filing Date	N v mb r 10, 1998					
First Named Inventor	Ch , M.S.					
Group Art Unit	1631 Kg 44					
Examiner Name	Marschel, A.					
Attorney Docket Number	A-66828-1/RMS/DCF/KJC.					

	U.S. PATENT DOCUMENTS					
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	A45	5,595,915	01/1997	Geysen		
	A46	5,603,351	02/1997	Cherukuri et al.		
	A47	5,610,287	03/1997	Nikiforov et al.		
	A48	5,631,170	05/1997	Attridge		
	A49	5,631,337	05/1997	Sassi et al.		
	A50	5,632,876	05/1997	Zanzucchi et al.		
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	A52	5,635,358	06/1997	Wilding et al.		
-	A53	5,637,469	06/1997	Wilding et al.		
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	A59	5,671,303	09/1997	Shieh et al.		
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	A63	5,681,484	10/1997	Zanzucchi et al.		
	A64	5,714,330	02/1998	Brenner et al.		
	A65	5,726,026	04/1998	Wilding et al.		
	A66	5,747,169	05/1998	Fan et al.		
	A67	5,747,180	05/1998	Miller et al.		
-	A68	5,750,015	05/1998	Soane et al.		
	A69	5,755,942	05/1998	Zanzucchi et al.		

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Application Number	09/189,543	-
Filing Date	N v mber 10, 1998	1,
First Named Inventor	Che , M.S.	,<
Group Art Unit	1631	10
Examiner Name	Marschel, A.	*000)
Attorney Docket Number	A-66828-1/RMS/DCF/KJC	7

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	U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	U.S. Patent Document Number-Kind Code ² (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	A70	5,770,029	06/1998	Nelson et al.		
	A71	5,770,157	06/1998	Cargill et al.		
	A72	5,795,716	08/1998	Chee		
	A73	5,837,196	11/1998	Pinkel et al.		
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	A76	5,849,215	12/1998	Gin et al.		
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-	A88	5,990,479	11/1999	Weiss et al.		
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	A91	6,039,894	03/2000	Sanjurjo et al.		

Date Considered

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09/189,543

N v mber 10, 1998

Che , M.S.

1631

Marschel, A. Examiner Name A-66828-1/RMS/DCF/KJC 5 15 Attorney Docket Number

	U.S. PATENT DOCUMENTS					
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	A92	6,045,760	04/2000	Aizawa et al.		
	A93	6,048,515	04/2000	Kresse et al.		
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	A95	6,060,743	05/2000	Sugiyama et al.		
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	A98	6,087,114	07/2000	Rider		
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-	A111	6,261,782	07/2001	Lizardi et al.		
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Examiner	Date	
Signature	Considered	

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	Application Number	09/189,543
	Filing Date	N v mber 10, 1998
	First Named Inventor	Ch , M.S.
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	Examiner Name	Marschel, A.
	Attorney Docket Number	A-66828-1/RMS/DCF/KJQ 2

	U.S. PATENT DOCUMENTS					
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	A114	6,274,323 B1	08/2001	Bruchez et al.		
	A115	6,280,935	08/2001	Macevicz		
	A116	6,284,456	09/2001	Jones et al.		
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	A121	6,355,431 B1	03/2002	Chee et al.		
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	A123	6,396,995	05/2002	Stuelpnagel, E., et al.		
	A124	6,406,845	06/2002	Walt, D.R., and B.G. Healey		
	A125	6,429,027	08/2002	Chee, M.S., et al.		
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	A127	20010029049	10/2001	Walt, D., and T. Dickinson		
	A128	20020001801	01/2002	Fan, J.B. and M.S. Chee		
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	A131	20020122612	09/2002	Walt, D.R., and K.L. Michael		
	A132	20020132221	09/2002	Chee, M.S., et al.		
	A133	20020132241	09/2002	Fan, J.B., et al.		
	A134	20020150909	10/2002	Stuelpnagel, et al.		

Examiner Signature Date Considered

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Sheet

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Application Number	09/189,543	41.			
Filing Date	N vemb r 10, 1998 CX	7/			
First Named Inventor	Che , M.S.	3/1 \$			
Group Art Unit	1631	Ep			
Examiner Name	Marschel, A.	1600			
Attorney Docket Number	A-66828-1/RMS/DCF/KJC	9)			

	U.S. PATENT DOCUMENTS							
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	A135	20020172716	11/2002	Walt, D.R., et al.				
	A136	20020177141	11/2002	Chee, M., and K. Gunderson				
	A137	20021087515	12/2002	Chee, M.S., et al.				
	A138	20030003490	01/2003	Fan, J.B., and M.S. Chee				
	A139	20030016897	01/2003	Walt, D.R., and K.L. Michael				
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	В1	JP 01283412	09/1991	Brother Ind Ltd, et al.		
	B2	UK 2294319A	04/1996	Cambridge Imaging Limited		
	В3	UK 2315130	01/1998	Cambridge Imaging Ltd.		
	B4	UK 2315131	01/1998	Cambridge Imaging Ltd.		
	B5	FR 2741357	05/1997	Corning Inc.		
	В6	EP 0539888A1	05/1993	Shimadzu Corporation		
	B7	EP 0572157A1	12/1993	Puritan-Bennett Corporation		
	B8	EP 0799897	10/1997	Affymetrix, Inc.		
	В9	WO 92/09300	06/1992	Iterex Pharmaceuticals LTD		
	B10	WO 94/02515	02/1994	Bunsen Rush Laboratories		
	B11	WO 94/12863	06/1994	Trustees of Tufts College		
	B12	WO 95/16918	06/1995	Combichem Inc.		

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Sheet

8 of 15 Attorney Docket Number A-66828-

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Application Number	09/189,543					
Filing Date	N v mber 10, 1988					
First Named Inventor	Chee, M.S.					
Group Art Unit	1631					
Examiner Name	Marschel, A.					
Attorney Docket Number	A-66828-1/RMS/DCF/KJC					

		F	OREIGN PATENT	DOCUMENTS		0
Examiner Initials*	Cite No.	Foreign Patent Document Country Code ² Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	B13	WO 95/21271	08/1995	Molecuair Tool Inc.		
	B14	WO 95/33070A1	12/1995	New York Medical College		
	B15	WO 96/04547	02/1996	Lockheed Martin Energy Systems, Inc.		
	B16	WO 96/11878	04/1996	Regents of the Univ. of CA		
	B17	WO 96/15271	05/1996	Abbott Laboratories		
	B18	WO 96/36436	11/1996	IRORI		
	B19	WO 96/40901A1	12/1996	Gen-Probe Inc.		
	B20	WO 97/12030	04/1997	Nanogen, Inc.		
	B21	WO 97/13870	04/1997	Heller		
	B22	WO 97/45559	12/1997	Cornell Research Foundation		
	B23	WO 98/04746	02/1998	Mount Sinai School of Medicine of CUNY		
	B24	WO 98/08092	02/1998	Smith Kline Beecham Corp.		
	B25	WO 98/29736	07/1998	Genometrix Incorporated		
•	B26	WO 98/46797	10/1998	Immunological Associates of Denver		
	B27	WO 99/00663	01/1999	California Institute of Technology		
	B28	WO 99/05320	02/1999	Rapigene, Inc.		
	B29	WO 99/09394	02/1999	Alexion Pharmaceuticals, Inc.		
	B30	WO 99/28505	06/1999	Curagen Corp.		

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477583 Complet if Kn wn Substitute for form 1449A/PTO (Modified) Application Number 09/189,543 May Con INFORMATION DISCLOSURE N vemb r 10, 1998 Filing Date STATEMENT BY APPLICANT First Named Inventor Che, M.S. Group Art Unit 1631 (use as many sheets as necessary) Marschel, A. Examiner Name

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Attorney Docket Number

			OREIGN PATENT	DOCUMENTS	- 10 - 10 - 10 - 10 - 10 - 10 - 10 - 10	
Examiner Initials*	Cite No.	Foreign Patent Document Country Code ² Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T'
	B31	WO 99/34931	07/1999	Cartesian Technology, Inc.		
	B32	WO 99/39001	08/1999	Amersham Pharmacia Biotech AB		
	В33	WO 99/53087	10/1999	Europäisches Laboratorium für Molekularbiologie (EMBL)		
	B34	WO 00/26411A1	05/2000	Lynx Therapeutics		
	B35	WO 00/34299A1	06/2000	Biochip Technologies GMBH		
	B36	WO 00/47767	08/2000	Astrazeneca UK Limited.		
	B37	WO 00/71992	11/2000	Illumina Inc.		
	B38	WO 01/12862	02/2001	Illumina, Inc.		
	В39	WO 01/18524	03/2001	Illumina, Inc.		
-	B40	WO 01/41918	06/2001	Illumina, Inc.		
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	B42	WO 01/57268	08/2001	Illumina Inc.		
	B43	WO 01/57269	08/2001	Illumina Inc.		
	B44	WO 01/59432	08/2001	Illumina Inc.		
	B45	WO 01/61043	08/2001	Illumina Inc.		
	B46	WO 02/00336	01/2002	Illumina Inc.		
	B47	WO 02/099982	12/2002	Illumina Inc.		
	B48	WO 02/12897	02/2002	Illumina Inc.		
	B49	WO 02/16649	02/2002	Illumina Inc.		

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Application Number	09/189,543	(X)
Filing Date	N v mber 10, 1998	1/2
First Named Inventor	Che , M.S.	7
Group Art Unit	1631	112
Examiner Name	Marschel, A.	160 VO3
Attorney Docket Number	A-66828-1/RMS/DCF/KJ	c 1600

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	B50	WO 02/21128	03/2002	Illumina Inc.		
	B51	WO 02/101358	12/2002	Illumina Inc.		
	B52	WO 03/002979	12/2002	Illumina Inc.		
	B53	WO 03/006677	01/2003	Illumina Inc		

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
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-	C2	Baner et al., "Signal amplification of padlock probes by rolling circle replication," Nucleic Acids Research, 26(22): 5073-5078 (1998).	
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Date Considered	
	Date

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Application Number	09/189,543	
Filing Date	N v mb r 10, 1298)	4/ ,
First Named Inventor	Che , M.S.	4 7 2
Group Art Unit	1631	Es Ve
Examiner Name	Marschel, A.	7/60-
Attorney Docket Number	A-66828-1/RMS/DCF/KJC	10/20
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١	Signature	Considered

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Marschel, A.	⟨⟨⟩ _
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		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	O
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Application Number	09/189,543	-
Filing Date	N vemb r 10, 1998	
First Named Inventor	Che , M.S.	_
Group Art Unit	1631	ン
Examiner Name	Marschel, A.	Q
Attorney Docket Number	A-66828-1/RMS/DCF/KJC	7

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First Named Inventor

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Examiner Name Marschel, A.

15 Attorney Docket Number A-66828-1/RMS/DCF/KJC

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Application Number	09/189,543				
Filing Date	N v mber 10, 1998				
First Named Inventor	Che , M.S.				
Group Art Unit	1631				
Examiner Name	Marschel, A.				
Attorney Docket Number	A-66828-1/RMS/DCF/KJC				

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